

LAHIVE

&

COCKFIELD

L L P

COUNSELLORS AT LAW

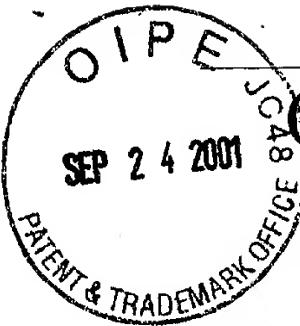
28 STATE STREET

BOSTON, MASSACHUSETTS 02109-1784

TELEPHONE (617) 227-7400

FAX (617) 742-4214

lc@lahive.com



JOHN A LAHIVE, JR (1928-1997)  
THOMAS V SMURZYNSKI  
RALPH A LOREN  
GIULIO A DeCONTI, JR  
ANN LAMPORT HAMMITTE  
ELIZABETH A HANLEY  
AMY BAKER MANDRAGOURAS  
ANTHONY A LAURENTANO  
KEVIN J CANNING  
JANE E REMILLARD  
DeANN FORAN SMITH  
PETER C LAURO  
JEANNE M DIGIORGIO  
DEBRA J MILASINCIC, Ph D  
DAVID J RIKKERS  
DAVID R BURNS  
JOHN S CURRAN  
SEAN D DETWEILER

CYNTHIA L KANIK, Ph D  
MEGAN E WILLIAMS, Ph D  
RICHA NAND  
MICHAEL PHILLIPS \*  
LISA M DIROCCO  
**SENIOR COUNSEL**  
JAMES E COCKFIELD  
**OF COUNSEL**  
JEREMIAH LYNCH  
WILLIAM A SCOFIELD, JR  
**PATENT AGENTS**  
PETER S STECHER  
THEODORE R WEST  
SHAYNE Y HUFF, Ph D  
HATHAWAY P RUSSELL  
DANIEL B KO

*Sector*  
**TECHNICAL SPECIALISTS**  
MARIA LACCOTRIPE ZACHARAKIS, Ph D \*\*  
CYNTHIA M SOROOS  
PETER W DINI, Ph D  
EUIHOON LEE  
JENNIFER K ROSENFIELD  
ALLAN TAMESHTIT, Ph D  
CATHERINE E MCPHERSON  
ERIC F WAGNER, Ph D  
SHAHID HASAN, Ph D  
JACOB G WEINTRAUB  
JONATHAN M SPARKS, Ph D  
CRISTIN E HOWLEY, Ph D  
VINCENT P LOCCISANO  
MERIDETH C ARNOLD

\* Admitted in NY only

\*\* Passed the Patent Bar Examination

September 19, 2001

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Patent Application Serial No. 09/865,112  
Title: *SYSTEMS AND METHODS FOR EXCHANGING INFORMATION BETWEEN OPTICAL NODES*  
Filed: May 24, 2001  
Inventors: Marc Robidas and Arvind Puntambekar  
Our Ref. No.: SYCS-014

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Response to Notice To File Missing Parts of Application (in duplicate);
2. Executed Declaration, Petition and Power of Attorney;
3. Copy of the Formalities Letter;
4. 7 Sheets of Substitute Drawings (Figures 1-8);
5. Check for \$130.00 (declaration surcharge); and
6. Return mailroom postcard.

Please charge any necessary fees to our Deposit Account No. 12-0080. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on:

September 19, 2001

Date

Kevin J. Canning, Esq., Reg. No. 35,470

Respectfully submitted,  
LAHIVE & COCKFIELD, LLP

Kevin J. Canning  
Reg. No. 35,470  
28 State Street  
Boston, MA 02109  
Tel. (617) 227-7400



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Marc Robidas and Arvind Puntambekar

Serial No.: 09/865,112

Filed: May 24, 2001

For: *SYSTEMS AND METHODS FOR EXCHANGING INFORMATION BETWEEN OPTICAL NODES*

Attorney Docket No.: SYCS-014

Group Art Unit: 2661

Examiner:

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

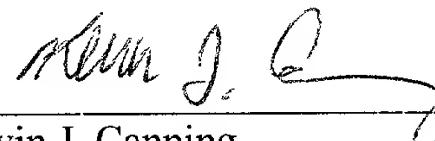
Certificate of First Class Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on the date set forth below.

September 19, 2001

Date of Signature and of Mail Deposit

By:

  
Kevin J. Canning  
Reg. No. 35,470  
Attorney for Applicant

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NON-PROVISIONAL APPLICATION

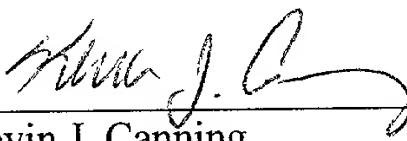
Dear Sir:

Responsive to the Notice to File Missing Parts of Non-Provisional Application dated July 25, 2001, Applicants' attorney submits herewith the executed Declaration, Petition and Power of Attorney for Patent Application and a check in the amount of \$130.00 to cover the declaration surcharge fee.

Also enclosed in Response to the Notice to File Missing Parts of Non-Provisional Application, Applicants' attorney submits herewith 7 sheets of formal drawings (Figures 1-8). Applicants respectfully submit that the formal drawings are in compliance with the requirements set forth on the Notice to File Missing Parts of Non-Provisional Application.

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. A duplicate of this letter is enclosed.

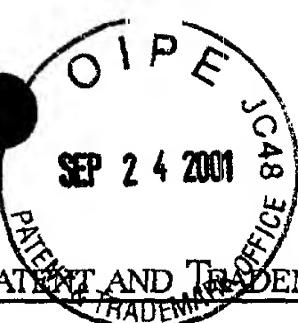
Respectfully submitted,



\_\_\_\_\_  
Kevin J. Canning  
Registration No. 35,470  
Attorney for Applicants

LAHIVE & COCKFIELD, LLP  
28 State Street  
Boston, MA 02109  
Tel. (617) 227-7400

Dated: September 19, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/865,112	05/24/2001	Marc Robidas	SYCS-014

## CONFIRMATION NO. 3043

000959  
LAHIVE & COCKFIELD  
28 STATE STREET  
BOSTON, MA 02109

## FORMALITIES LETTER



\*OC000000006341243\*

Date Mailed: 07/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

09/26/2001 KZENDIE 00000078 09865112

01 FC:105

130.00 0P

*A copy of this notice MUST be returned with the reply.*

RECEIVED  
LAHIVE & COCKFIELD  
DOCKET DEPT.

JUL 27 2001

RETRIEVED:

FORWARDED:

PART 2 - COPY TO BE RETURNED WITH RESPONSE